# CAPITALMONITOR

## **Capital Monitor Sustainable Impact Banking Awards**

**Entry Portal - Capital Monitor Sustainable Impact Banking Awards** 1. Please provide your Contact Information:\* Full Job Title: Name: Company Name: Email Address: 2. Your participation in this survey is confidential. Your individual answers will not be shared and will only be used in aggregate. We will not use your details for any purposes other than verification unless you request it below. Please click here to review our full privacy policy. □ I would like to hear more about the products and services of Capital Monitor. ☐ Send me promotions and offers from New Statesman Media Group and selected third parties. 3. As part of our research we will also be conducting interviews with industry figures to discuss their responses. Please indicate if you are happy to be contacted about an interview Yes, I am happy to be contacted

Entry Portal - Select case study submission or rankings data submission

- 4. Institutions can submit for one of the case study awards, or support its place in the rankings by providing as much ranking-based data as it can. Deadline for submission is August 26th.
- 1) Case Studies Sustainable Innovation Awards

To be considered for a Sustainable Innovation Award, you are required to submit relevant details of a specific

deal or transaction that you believe highlights your sustainable credentials.

#### Sustainable Innovation Awards

- Sustainable Innovation of the Year: Capital Markets
- Sustainable Innovation of the Year: Lending
- Sustainable Innovation of the Year: Trade and Supply Chain Finance
- Sustainable Innovation of the Year: Cash and Liquidity Management

Please note: You are not able to save submissions halfway through so we advise you download the pdf version of the submissions form before you start uploading data. **Download form: HERE** 

## 2) Rankings Data - Headline Awards or Industry Specialist Awards

To increase your chances of winning a Headline Award or Industry Specialist Award, we require a bank to submit as much ranking-based data as it can. Unless otherwise stated, the data should be both consolidated at a global level and taken from the 2021 calendar year.

#### **Headline Awards**

- World's Most Sustainable Bank
- · Most Sustainable Bank in Middle East and Africa
- Most Sustainable Bank in South America
- · Most Sustainable Bank in Asia
- Most Sustainable Bank in North America
- · Most Sustainable Bank in Europe

### Industry Specialist Awards (by region)

- Best Green Bond Bank
- · Best Green Loans Bank
- Best Sustainability-Linked Finance Bank
- Best ESG Bank
- Best Bank for Sustainable Policies

Please note: You are not able to save submissions halfway through so we advise you download the pdf version of the submissions form before you start uploading data. **Download form: HERE** 

## Please select which of these awards you would like to submit for: \*

- 1) Submit Case Study Sustainable Innovation Awards
- © 2) Submit Rankings Data Headline Awards or Industry Specialist Awards
- 1 & 2) Submit for both Case Study & and Rankings Data Awards

5. Your Client's Name: *	
6. Solution Name: (e.g. Green trade finance facility) *	
7. Please select all the areas that this project or initiat	ive relates to:*
☐ SDG, 1, 2, 6 (No poverty, Hunger, Water sanitation)	☐ SDG 10 (Reduced inequalities)
☐ SDG 3 (Good health)	☐ SDG 11 (Sustainable cities)
☐ SDG 4 (Quality education)	☐ SDG 12 (Responsible consumption)
☐ SDG 5 (Gender equality)	□ SDG 13 (Climate action)
☐ SDG 7 (Clean energy)	☐ SDG 14 (Life below water)
☐ SDG 8 (Decent work)	☐ SDG 15 (Life on Land)
☐ SDG 9 (Innovation)	
8. Please select all the areas that this project or initiat (tick all that apply)	ive relates to: *
☐ Advisory/Capital Markets (e.g. M&A, DCM, ECM)	
☐ Lending	
☐ Trade finance/Supply chain finance	
☐ Cash/Liquidity management	
9. Please explain the client need:  Max. word count = 500	

10. Please provide a description of your solution and what makes it innovative? Please include impact measurements, targets and KPIs  Max word count = 750
11. Was the target impact achieved?  C Yes  No
12. Please list the principal banks involved  For multiple banks, please use a comma to separate each bank
13. Please list the second-party providers involved  For multiple second-party providers, please use a comma to separate each provider
14. Please list the key individuals involved in this project  For multiple individuals, please use a comma to separate each individual

15. What was the tir	me frame for t	his project or initiative	?
0-12 C months	1-3 C years	3+ C years	
submit up to three fi	les		ing to this case study please do so below. You can
17. Are any element C Yes C No	ts of this case	study confidential?	
18. Please indicate	which elemen	ts are confidential	
Individuals involved	ved		☐ Timeframe
☐ Innovative feature	res		Other
Rankings Award - Enviro	onmental		
19. Amount of waste Reported (2021) Target Target Year	e generated (1	Fonnes)	
20. Greenhouse Ga  Reported (2021)  Target  Target Year	s Emissions (	Tonnes CO2 equivale	ent)

21. Total Water Co	nsumption (Litres)
Reported (2021)	
Target	
Target Year	
22. % of energy con	nsumed from renewable sources
Reported (2021)	
Target	
Target Year	
rarget rear	
	erated which is recycled
Reported (2021)	
Target	
Target Year	
· ·	
·	
Rankings Award - Socia	al
Rankings Award - Socia	
Rankings Award - Socia 24. Annual Sponso Reported	rship Spending (US\$)
Rankings Award - Socia 24. Annual Sponso Reported (2021) Target	
Rankings Award - Socia 24. Annual Sponso Reported (2021)	
Rankings Award - Socia 24. Annual Sponso Reported (2021) Target	
Pankings Award - Social  24. Annual Sponso Reported (2021) Target (2021)  25. Community Inter	
Pankings Award - Social 24. Annual Sponso Reported (2021) Target (2021)	rship Spending (US\$)
Pankings Award - Social  24. Annual Sponso  Reported (2021)  Target (2021)  25. Community Interported	rship Spending (US\$)
24. Annual Sponso Reported (2021) Target (2021)  25. Community Inte	rship Spending (US\$)
24. Annual Sponso Reported (2021) Target (2021)  25. Community Intel Reported (2021) Target (2021)	rship Spending (US\$)  egration and Support (US\$)
24. Annual Sponso Reported (2021) Target (2021)  25. Community Intel Reported (2021) Target (2021) Target (2021) Target (2021)	rship Spending (US\$)  egration and Support (US\$)
24. Annual Sponso Reported (2021) Target (2021)  25. Community Inte Reported (2021) Target (2021) Target (2021) Target (2021)	rship Spending (US\$)  egration and Support (US\$)

27. Covid-19 Protection  Measures  Measures must have been introduced in 2021			
28. Number of accidents, incidents and fatalities  Reported globally in 2021  Rankings Award - Governance			
29. Please indicate the reported value for 2021 vs the target value			
29. Please indicate the reported value for 2021 vs the target value  % BAME employees - among all employees	Reported	Target	Target Year
	Reported	Target	Target Year
% BAME employees - among all employees	Reported	Target	Target Year
% BAME employees - among all employees % BAME employees in senior management	Reported	Target	Target Year
% BAME employees - among all employees  % BAME employees in senior management  Average executive bonus as % of Total Remuneration	Reported	Target	Target Year
% BAME employees - among all employees  % BAME employees in senior management  Average executive bonus as % of Total Remuneration  CEO salary as % of Company Turnover	Reported	Target	Target Year

30. Please select as appropriate:								
		Do you have a policy for	Tick box if you provide publicly	available information for this policy				
	Whistleblower protection	Yes No						
	Non-stat benefits provided to employees	Yes No		г				
	Policy for bribery and corruption	Yes No						
	Scope of code of conduct policy	Yes No		П				
Rank	kings Award - De	eals & Transparency						
	31. Please enter the number of deals & total deal value for each of the deal types outlined below:  The total number & deal volume of capital market transactions globally you were formally involved in (e.g. underwriter, syndicated lender) and concluded in 2021as classified by ICMA.  Number of Deals  Deal Volume (in US\$)							
	Green bond							
	Green Loan							
	Sustainable Bo	nd						
	Sustainability-li	nked Bond						
	Sustainability-li	nked Loan						

Total

32. Please provide ISIN numbers for all the capital market transactions acknowledged above
Deals must have concluded in 2021. Please list ISIN numbers separated by a comma.
33. Deals Data  Browse
34. What percentage of the deals reported above contain second-party opinion providers (e.g. Sustainalytics)?
35. What percentage of the deals reported above contain public KPIs/covenants?
36. What percentage of the deals reported above are linked to an existing Green/Sustainable Bond/Loan Framework as published by the issuer/borrower?
37. In your own words, what did your bank achieve in 2021 to ensure the green and sustainable finance market was safer and more robust?  Max word count = 500

**Ratings Award - Policies** 

38. Fossil fuel financing						
		Direct finance fu	Il exclusion in 2021	Advisory ful	exclusion in 2021	
Coal mining						
Coal power						
Coal infrastructure						
Tar sands						
Arctic oil						
Arctic gas			П	□		
Offshore oil			П			
Offshore gas						
Fracked gas						
Fracked oil			П		П	
LNG				П		
Other conventional oil and gas						
39. Fossil fuel expansion		Direct finance fu	ll exclusion in 2021	Advisory ful	l exclusion in 2021	
Coal mining				П		
Coal power						
Coal infrastructure						
Tar sands						
Arctic oil	Arctic oil					
Arctic gas						
Offshore oil	shore oil			П		
Offshore gas				п		
Fracked gas						
Fracked oil						
LNG						
Other conventional oil and gas			П			
40. Financial inclusion policy: We want to know if you have made any public commitments to supporting initiatives that directly link to the UN SDGs						
	Target (Vol. US\$)	Has the target been hit?	Direct Finance (Vol. US\$)	Advisory (Vol. US\$)	Charity/Donation (Vol. US\$)	
SDG 1, 2, 6 (no poverty, hunger,		Yes No				

water samuation;	<u></u>		
SDG 3 (Good health)	Yes No		
SDG 4 (Quality education)	Yes No		
SDG 5 (Gender equality)	Yes No		
SDG 7 (Clean energy)	Yes No		
SDG 8 (Decent work)	Yes No		
SDG 9 (Innovation)	Yes No		
SDG 10 (Reduced inequalities)	Yes No		
SDG 11 (Sustainable cities)	Yes No		
SDG 12 (Responsible consumption)	Yes No		
SDG 13 (Climate action)	Yes No		
SDG 14 (Life below water)	Yes No		
SDG 15 (Life on Land)	Yes A		